IN THE UNITED STATES PATENT AND TRADEMARK OFFICE **PATENT**

Applicant: Ralph H. Johnson et al.

Examiner in immediately preceding parent application: Mondt, Johannes P.

Serial No.: New divisional application

Filed: July 10, 2003

Anticipated Group Art Unit: 2826

For: VERSATILE METHOD AND SYSTEM FOR SINGLE MODE VCSELS

Docket No.: H26341-D1 (1139.1124103)

19972 U.S. PTO
10/617290
07/10/03

REQUEST FOR FILING A DIVISIONAL APPLICATION

Mail Stop Patent Application
Assistant Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EV333851423US, in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 10th day of July, 2003.

By Lynn Thompson
Lynn Thompson

Dear Sir:

This is a request for filing a divisional application, under 37 CFR §1.53(b), of pending prior application Serial No. 09/724,820 filed on November 28, 2000, for an invention entitled VERSATILE METHOD AND SYSTEM FOR SINGLE MODE VCSELS.

1. Enclosed is a copy of the prior application, including the inventor's DECLARATION of Ralph H. Johnson, and Gilberto Morales as originally filed on November 28, 2000.

2. Amend the specification by inserting immediately after the title at page 1, the following new section:

-- Cross Reference to Related Application

This application is a divisional of co-pending U.S. Patent Application Serial No. 09/724,820 filed November 28, 2000. --

3. The filing fee is calculated below pursuant to the Preliminary Amendment, a copy of which is filed herewith, which cancels claims 1-31 without prejudice after adding newly presented claims 32-53, leaving claims 32-53 currently pending in this application.

CLAIMS AS FILED						
FOR:	(1) No. Filed	(2) No. Extra	SMALL ENTITY		OTHER	
			RATE	FEE	RATE	FEE
BASIC FEE	XXXXX	XXXXX	XXXXX	$375	XXXXX	$750
TOTAL CLAIMS	22–20=	2	X 9=	$	X 18=	$36
INDEPENDENT CLAIMS	3 - 3=	0	X 42=	$	X 84=	$0
( ) MULTIPLE DEPENDENT CLAIM PRESENTED			+140=	$	+280=	$0
TOTAL				$	XXXXX	$786.00

4. Fee Transmittal For FY 2003 authorizing payment in the amount of $786.00 ($750 filing fee) ($36 claims) to be charged to Honeywell Deposit Account 01-1125.

5. Five (5) sheets of informal drawings are enclosed.

6. I hereby verify that the enclosed papers are a true copy of prior application Serial No. 09/724,820 as filed on November 28, 2000, and further that this statement was made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

7. **Address all future communications to:**

ANDREW A. ABEYTA
at Customer No. 000128

Dated: July 10, 2003

Brian N. Tufte, Reg. No. 38,638
CROMPTON, SEAGER & TUFTE, LLC
1221 Nicollet Avenue, Suite 800
Minneapolis, MN 55403-2402
Telephone: (612) 677-9050
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Approved for use 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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07/10/03 16085 U.S. PTO

FEE TRANSMITTAL For FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 /CFR 1.27.

TOTAL AMOUNT OF PAYMENT | $786.00

COMPLETE IF KNOWN	
Application No.	New Divisional Application
Filing Date	July 10, 2003
First Named Inventor	Ralph H. Johnson
Group Art Unit	Not Assigned
Examiner Name	Not Assigned
Attorney Docket No.	H26341 D1 (1139.1124103)

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other

☒ Deposit Account

Deposit Account Number: 01-1125

Deposit Account Name: Honeywell International Inc.

The Commissioner is hereby authorized to: *(check all that apply)*

☒ Charge fee(s) indicated below ☒ Credit any overpayment

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee $	Small Entity Fee Code	Small Entity Fee $	Fee Description	Fee Paid
1001	750	2001	375	Utility Filing Fee	750
1002	330	2002	165	Design Filing Fee	
1003	520	2003	260	Plant Filing Fee	
1004	750	2004	375	Reissue Filing Fee	
1005	160	2005	80	Provisional Filing Fee	

SUBTOTAL (1) $750.00

2. EXTRA CLAIM FEES

		Extra Claims		Fee from below		Fee Paid
Total Claims	22	-20** =	2	x 18.00	=	36
Independent Claims	3	- 3 =	0	x [illegible]	=	0
Multiple Dependent Claims			-0-	280.00	=	-0-

Large Entity Fee Code	Large Entity Fee $	Small Entity Fee Code	Small Entity Fee $	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim
1204	84	2204	42	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) $36.00

**number previously paid. If greater, see above for Reissues

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee $	Small Entity Fee Code	Small Entity Fee $	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,250	For filing a request for *ex parte* reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive – unavoidable	
1453	1,300	2453	650	Petition to revive – unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Statement	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
1504	300	1504	300	Publication fee	
Other fee (specify)					

* Reduced by Basic Filing Fee Paid SUBTOTAL (3) $ .00

SUBMITTED BY				Complete (*if applicable*)	
Name (Print / Type)	Andrew A. Abeyta	Registration No. (Attorney/Agent)	39,582	*Telephone*	602-313-3345
Signature				*Date*	July 10, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time are required to complete this from should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C., 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC, 20231.